Centennial Review

Acute Lung Injury and the Acute Respiratory Distress Syndrome
Four Decades of Inquiry into Pathogenesis and Rational Management

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In 2005, the American Thoracic Society marks its 100th year of existence. For over a third of this span, since 1967, clinicians and investigators have struggled with a common, often lethal condition originally termed the adult respiratory distress syndrome (ARDS) (1).* While the syndrome almost certainly occurred in earlier times well before the advent of intensive care units, this date establishes its modern era. Subsequently, ARDS has more correctly come to indicate the acute respiratory distress syndrome because it occurs in children as well as in adults (2). ARDS causes severe acute respiratory failure with dynamic impairment in oxygen and carbon dioxide exchange, with the need for high levels of supplementary oxygen and a high minute ventilation (3, 4). Efforts to understand the pathophysiologic events underlying ARDS, a constellation that is now generally termed acute lung injury (ALI), have been substantial and remain a priority of the National Institutes of Health (5). The expectation has been that basic, translational, and clinical studies will result in new strategies for management of ALI/ARDS based on clear definition of the cellular and molecular events requisite in lung injury and repair. While important discoveries have been made, the goal of fundamental characterization of ALI/ARDS in a way that results in highly effective prevention and treatment remains incomplete and elusive. One major advance in supportive care, a strategy of lung protective mechanical ventilation, has substanti ally reduced mortality in ALI/ARDS (6, 7). This therapeutic intervention resulted from both experimental and clinical studies that evaluated the effect of different ventilation strategies on the course of ALI, and continues a theme initiated in the original description of ALI/ARDS in which positive end-expiratory pressure was introduced as a management modality (1).

In spite of the advances in supportive care of patients with ALI/ARDS, unacceptable morbidity and mortality persist and formidable challenges remain. Our objective here is to review briefly what we knew in 1967 regarding pathogenesis and what we know in 2005, and to provide a perspective on how insights have evolved over the last four decades.

Pulmonary Edema and the Early Events in ALI/ARDS

Congestion, atelectasis, and pulmonary edema were features of the original description of the syndrome (1). Within a decade, clinical and experimental studies established the concept that increased permeability pulmonary edema is the primary physiologic abnormality in the early stages of ALI/ARDS. Large animal models with measurements of hemodynamics and lung lymph flow demonstrated that clinically relevant causes of ARDS, including live bacteria, endotoxin, and microemboli, induced an increase in lung vascular permeability that causes protein-rich lung edema (8–10). An important clinical study reported protein concentrations in the undiluted edema fluid of mechanically ventilated patients with acute respiratory failure from severe pulmonary edema. In all subjects with features consistent with ARDS, the ratio of the protein concentration in the edema fluid compared with than the simultaneously measured plasma sample was > 0.75, whereas patients with cardiogenic, or high pressure, pulmonary edema had an edema fluid to plasma protein ratio < 0.75 (11). More recent observations are consistent with this finding, showing a separation between patients with hydrostatic versus an increased permeability pulmonary edema (Figure 1). Thus, increased permeability edema, interpreted as accumulation of protein-rich edema fluid into the alveoli, has become a hallmark of ALI/ARDS (12) (Figure 2).

Subsequently many studies have been done to define mechanisms that account for the acute increase in lung vascular permeability (5). Earlier experiments concentrated mostly on large animal models in which pulmonary and systemic hemodynamics could be measured, whereas more recent studies have used mouse models, largely to exploit the opportunity to use specific gene deletions to define molecular events that may be pivotal in the development of altered lung vascular permeability. Novel in vitro models have used cultured endothelial cells. The progress of these investigations is outlined later in this review. In parallel with early attempts to understand the mechanisms that lead to lung edema factors that compound the physiologic derangements were considered. One hypothesis was that ALI is associated with surfactant dysfunction, either because of reduced production or neutralization of surfactant by the plasma proteins and fibrin that extravasate into the alveoli (1). A decrease in functional surfactant would contribute to alveolar instability and arterial hypoxemia, potentially increase lung edema formation (13), and perhaps impair innate immune defenses (14). Analysis of bronchoalveolar lavage

(Received in final form August 18, 2005)

*The references in this article are eclectic rather than comprehensive and span a time frame from early reports to much more recent observations. We regret that we could not include many other articles that have had an impact on current concepts and understanding of the pathogenesis of ALI/ARDS because of space limitations. Additional detailed reviews of specific issues in ALI/ARDS not cited in this article can be found in: Acute respiratory distress syndrome. Matthay MM, ed. Lung biology in health and disease, vol. 179. Lenfant C, series ed. New York and Basel: Marcel Dekker; 2003.

Work cited in this article was supported by individual grants to the authors from the NIH, a SCOR in ARDS (HL S1553), and a SCCR in ALI/ARDS (HL74005).

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Am J Respir Cell Mol Biol Vol 33, pp 319–327, 2005
DOI: 10.1165/rcmb.F305
Internet address: www.atsjournals.org
samples indicates that the lipid and protein components of surface active material are altered in patients with ALI/ARDS (15). Nevertheless, replacement of surfactant has not reduced mortality in large clinical trials in adults with ALI (16, 17), perhaps because, unlike infant respiratory distress syndrome, the fundamental lesion in the acute respiratory distress syndrome is not lack of surfactant production by immature alveolar type II cells. In sharp contrast, surfactant replacement has substantially reduced mortality in infant respiratory distress syndrome in preterm infants.

The role of lung epithelial cell injury and dysfunction and the mechanisms that regulate removal of alveolar edema fluid, which were not recognized in early considerations of ALI/ARDS, emerged in experimental and clinical studies in the 1980s and ’90s (18) (also see below). The concept of lung fluid balance incorporates both formation and removal and provides a more dynamic concept of the factors that contribute to the net quantity of edema in the lung in animals or humans with ALI (Figure 2). Edema formation and accumulation in the interstitium and airspaces of the lung may be substantial because of a marked increase in lung vascular permeability, but if alveolar epithelial fluid reabsorption balances the formation of alveolar edema, then a steady state can be established that may allow time for recovery from the fundamental cause of lung injury. Perhaps this is why patients who maintain higher rates of alveolar epithelial fluid transport in the face of ALI have a better survival (19, 20). In addition, although a primary event in ALI/ARDS is an increase in lung vascular permeability, it was apparent from experimental models (21) and clinical observations (22) that the magnitude of lung edema in ALI may be substantially increased when lung vascular pressures and volume are elevated, consistent with the effects of hydrostatic pressure on transvascular flux of fluid and protein. More recently, a large NHLBI-sponsored clinical trial is now testing the hypothesis that measures to lower lung vascular pressures in patients with ALI/ARDS can improve clinical outcomes by reducing the quantity of extravasated protein-rich edema fluid in the lung, thus reducing the severity of respiratory failure and ultimately decreasing mortality.

In addition to these investigations, the intimate relationship between alveolar edema formation and inflammatory and thrombotic effector mechanisms gradually emerged in the decades after the clinical and physiologic presentations of ALI/ARDS were described. These concepts were integral to the clinical studies that demonstrated the variety of “triggering” disorders that can be associated with the development of ALI, resulting in injury to the alveolar barrier (Figure 2).

### More than Water: Inflammatory Mechanisms in ALI/ARDS

While it initially seemed clear that altered alveolar barrier function and pulmonary edema are central characteristics of ALI/ARDS, mechanisms that could account for the increase in permeability of the alveolar–capillary membrane were poorly understood. Even in early years, however, some investigators believed that inflammation might be involved. One stimulus for this view was the presence of leukocytes together with alveolar edema, hemorrhage, and hyaline membranes in some autopsy specimens (1) (Figure 3). Although subsequent pathologic studies were hampered by heterogeneity in predisposing conditions underlyng ALI/ARDS (Figure 2) (12), the risk of lung biopsy in respiratory failure, and the timing and relative infrequency of autopsies, they still provided key information. One study reported purulent exudates in the alveoli of some patients, although the contributions of infection versus inflammatory injury were not dissected (23). Seminal ultrastructural studies of the lung in patients dying with ALI secondary to sepsis then demonstrated increased numbers of intravascular and extravascular neutrophils (PMNs), platelets, and fibrin (24, 25), and both endothelial and epithelial injury, findings that are still incorporated into modern concepts of inflammatory edema in ARDS (6, 12, 26).

![Figure 1](image1.png)  
**Figure 1.** Increased permeability pulmonary edema is a hallmark of ALI/ARDS. Edema fluid to plasma protein concentrations expressed as a ratio to determine whether the edema fluid is a transudate as in hydrostatic pulmonary edema (< 0.65) or an exudate (> 0.65) as in increased permeability pulmonary edema (ALI/ARDS). The pulmonary edema fluid samples were obtained from patients within the 15 min of endotracheal intubation for acute respiratory failure. These are representative data from previously published and ongoing studies by Matthay and coworkers, some of which are discussed in Ref. 18.

![Figure 2](image2.png)  
**Figure 2.** Early events in ALI/ARDS. A variety of “direct” (lung infection, aspiration) and “indirect” (sepsis, multiple trauma with shock and large volume blood replacement) clinical insults lead to ALI. Initial clinical descriptions identified pulmonary edema as a major consequence. Subsequent investigations yielded evidence for inflammatory injury to the alveolar–capillary membrane as a central pathogenetic mechanism. The key effector cells, molecules, and mechanisms that lead to dysregulation of inflammatory and hemostatic pathways in ALI/ARDS remain incompletely defined. (Modified from Ref. 34.)
(28, 29). Subsequent observations are consistent with the concept that inflammation is a component of many, and perhaps all, causes of “direct” injury to the alveolar–capillary membrane as occurs with aspiration and many forms of infectious pneumonitis (12, 30, 31) (Figure 2).

Studies of complement activation, specifically generation of C5a, and consequent activation of PMNs contributed a new facet to concepts of the pathogenesis of ALI/ARDS (32, 33). Together with reports demonstrating endothelial injury by PMN proteases and oxidants, these observations provided a conceptual model in which generation of a circulating mediator (in this case, C5a) induces systemic activation of PMNs, resulting in aggregation and sequestration in pulmonary microvessels and consequent diffuse lung injury (33, 34). This suggested a mechanism by which “indirect” alveolar–capillary membrane injury is caused, as in systemic sepsis and nonthoracic trauma (12) (Figures 2 and 3). Leukocyte activation leading to intravascular sequestration, alone or involving interacting platelets, is consistent with findings in much more recent studies of the pathophysiology of ALI/ARDS (4, 34). These concepts of PMN activation and aggregation also contributed to early suggestions that glucocorticoids, inhibitors of PMN granular proteases, and antioxidants might be specific therapeutic agents (28, 33, 45)—a potential that, although mechanistically based, has not subsequently been realized (12).

Additional analyses of leukocytes in the blood and alveoli of subjects with ALI/ARDS (35–37) indicated that accumulation and activation of PMNs could not be completely explained by existing paradigms and known mediators such as C5a, and stimulated new investigators in the field to develop alternative models and hypotheses and to draw on previous and parallel investigations of inflammatory pathways in other systems. One outcome was identification of endothelial cell activation as a mechanism of leukocyte accumulation and signaling (38, 39). Thus, key mediators might not be acting directly on the leukocytes but instead on the lung endothelium, resulting in accumulation of PMNs, inflammatory injury, and, potentially, accumulation of other leukocyte types in the injured lung (40). A key concept in current pathobiology is that endothelial activation is central to inflammation in the lung and elsewhere (41). Subsequent studies have examined mechanisms that regulate leukocyte–endothelial interactions in the pulmonary versus systemic circulations, where there are both differences and similarities (40–43). These issues have additional complexity because ALI/ARDS can involve both pulmonary and systemic vascular beds (5, 34).

The concept of ALI mediated by PMNs and circulating or locally generated mediators (Figure 4) with origins outlined above, continues to be central to current concepts of the pathogenesis of ALI/ARDS and has been emphasized in reviews and symposia that span two decades (12, 44–51). Inflammation was identified as a key feature in the 1994 Consensus conference on ALI/ARDS (47). The concept has also evolved in complexity and detail. C5a, which remains topical (52, 53), but is not a final common pathway to ALI/ARDS, is now complemented by chemokines, cytokines, and lipid signaling molecules (54–58). PMNs are themselves sources of some of these factors (51).

In some cases, identification of individual inflammatory mediators in cell biologic and preclinical models has generated candidate therapeutic strategies that have been tested in clinical trials. Intracellular signaling pathways that link PMN surface receptors to activation responses, including kinases and the NF-κB family of transcription factors, are implicated as potential points of intervention, and it is recognized that these pathways are modulated by surface integrins, selectins, and selectin ligands, which are adhesion molecules that also mediate tissue accumulation of leukocytes (40, 42, 49, 51). PMN activities besides acute gener-

Figure 3. Histologic and ultrastructural analysis of the injured lung has been integral to current concepts of pathogenesis of ALI/ARDS. (A) A low-power light micrograph of a lung biopsy specimen collected 2 d after the onset of ALI/ARDS secondary to gram-negative sepsis demonstrates key features of diffuse alveolar damage, including hyaline membranes, inflammation, intraalveolar red cells and neutrophils, and thickening of the alveolar–capillary membrane. (B) A higher-power view of a different field illustrates a dense hyaline membrane and diffuse alveolar inflammation. Polymorphonuclear leukocytes are imbedded in the proteinaceous hyaline membrane structure (black arrows). The white arrow points to the edge of an adjacent alveolus, which contains myeloid leukocytes (thank you to K. Jones, M.D., UCSF Pathologist, for the histologic sections in A and B). (C) An electron micrograph from a classic analysis of ALI/ARDS showing injury to the capillary endothelium and the alveolar epithelium. LC refers to a leukocyte (a neutrophil) within the capillary lumen. EC designates erythrocytes. EN shows blebbing of the capillary endothelium. BM refers to exposed basement membrane where the epithelium has been denuded. C refers the capillary. A refers to the alveolar space. (Reprinted with permission from Ref. 24.)
Multiple cellular responses and mediators contribute to alveolar–capillary membrane injury (right-hand side) and the transition from normal alveolar structure and function (left-hand side) in the acute phase of ALI/ARDS. Original investigations of the pathogenesis of ALI/ARDS searched for single mediators that provided final common pathways to inflammation and alveolar edema in ALI/ARDS. Current concepts of pathogenesis involve multiple molecular factors of several classes, a variety of responding cells, and imbalance between injurious and reparative signals and pathways. See text and Refs. 5 and 12 for details. (Reprinted from Ref. 12 with permission.)

It also seems unlikely that PMNs act alone in any of the phases of ALI/ARDS (Figure 4), although they may have particular roles at acute and subacute time points (62, 63). Lung macrophages, circulating monocyte subpopulations, and other leukocyte subtypes have been suggested as key effector cells and have been considered in a preliminary fashion in both early and later studies (27, 45, 64, 65), although little functional data exist. The roles of platelets, which were detected in the acutely injured lung in early studies (24, 25, 66), and molecular and cellular links between the thrombotic and inflammatory systems remain topical issues (5, 34). This is in part because of the potential therapeutic use of recombinant activated protein C (APC) in sepsis, a key triggering conditions for ALI/ARDS (5, 34, 67). APC interrupts both inflammatory and thrombotic events in experimental studies (68–70). Platelets release IL-1β, HMBG-1, and chemokines and also directly interact with PMNs and monocytes in addition to organizing fibrin clots; thus, they are active in innate immune cascades and may modify inflammatory injury in ALI/ARDS (34, 71). In animal models, hyperoxia increases intrapulmonary retention of PMNs and induces dysregulation of other innate immune mechanisms (44, 78). Inflammatory cytokines can worsen or, conversely, ameliorate oxygen injury in surrogate models (79).

**Resolution of Lung Injury**

The natural history of ALI/ARDS has been progressively defined by clinical studies (12, 44, 47, 48) and follows a variable course. One outcome is resolution and repair (Figure 5). The successful resolution of pulmonary edema and lung inflammation is an important determinant of recovery from acute lung injury. Early studies demonstrated that lung lymphatics and the pulmonary microcirculation remove edema fluid from the interstitium of the lung (8, 9), and that fluid flow into the pleural space is an
The natural history of ALI/ARDS includes resolution and repair versus persistence and progression. Clinical and epidemiologic studies demonstrate that ALI/ARDS resolves with return of alveolar function to normal or near normal in some patients, whereas in others there is persistence and/or progression of injury. The outcomes of persistence and progression include multiple organ failure, fibroing alveolitis, pulmonary vascular obliteration with pulmonary hypertension, and death. The genetic, cellular, molecular and iatrogenic factors that contribute to each of these outcomes remain largely unknown. In addition, rational mechanism-based strategies that favorably influence repair of the alveolar-capillary membrane are undefined. (Modified from Ref. 34.)

Figure 5. The natural history of ALI/ARDS includes resolution and repair versus persistence and progression. Clinical and epidemiologic studies demonstrate that ALI/ARDS resolves with return of alveolar function to normal or near normal in some patients, whereas in others there is persistence and/or progression of injury. The outcomes of persistence and progression include multiple organ failure, fibroing alveolitis, pulmonary vascular obliteration with pulmonary hypertension, and death. The genetic, cellular, molecular and iatrogenic factors that contribute to each of these outcomes remain largely unknown. In addition, rational mechanism-based strategies that favorably influence repair of the alveolar-capillary membrane are undefined. (Modified from Ref. 34.)

Figure 6. Cellular and molecular pathways regulate the resolution of alveolar edema formation and resolution in ALI/ARDS. Histologic section from patients with a lung biopsy in the setting of lung injury from bacterial pneumonia and sepsis with protein rich alveolar edema. The insert refers to ENaC, the epithelial sodium channel, which is a major pathway for the uptake of sodium on the apical surface of alveolar epithelial type I and II cells as well as distal airway epithelia. NaKATPase refers to the sodium pump located in the basolateral surface of alveolar and airway epithelia that actively pumps sodium into the interstitial space, thus creating a mini-osmotic gradient for the absorption of edema fluid from the alveolar. Both ENaC and NaKATPase can be upregulated by several catecholamine dependent and independent mechanisms. See Refs. 18 and 19 for more details. (Reprinted with permission from Ref. 18.)

Additional major pathway for edema translocation (80). A new mechanism was recognized in the 1980s when investigators demonstrated that removal of alveolar edema fluid depends on vectorial transport of salt and water across the alveolar epithelium in part through apically located epithelial sodium channels (ENaC), followed by extrusion into the lung interstitium via a basolaterally located Na-KATPase (18) (Figure 6). The transport of sodium creates a mini–osmotic gradient that absorbs water from the airspaces via water channels (aquaporins) and paracellular pathways (18). Net alveolar fluid clearance can be upregulated by cAMP agonists in many species, including the human lung (81). Recent evidence suggests that the cystic fibrosis chloride channel, CFTR, is required for effective cAMP stimulated fluid transport (82). Both alveolar type II and type I cells may be capable of driving sodium transport and net alveolar fluid clearance (83–85). The transport capacity of the alveolar epithelium is markedly diminished in ALI, a finding that correlates with higher mortality (19, 20). The mechanisms for the decrease in alveolar fluid clearance include frank injury to alveolar epithelial cells and their apoptosis or necrosis, resulting in loss of barrier transport properties as well as more subtle defects in ion transport capacity. Oxidant injury, reactive nitrogen species, hypoxia, and direct effects of bacterial and viral pathogens alter the transport machinery in the epithelial cells (18), as do unfavorable ventilatory strategies (75, 86).

More precise understanding of how the alveolar endothelium and epithelium interact, and their coordinate responses to inflammatory cells and cytokines, could clarify mechanisms by which lung endothelial injury leads to alveolar edema (Figure 2) and how resolution of edema is modulated (Figures 5 and 6). Experimentally, alveolar endothelial injury from endotoxin can occur without accumulation of alveolar edema, apparently because epithelial injury is mild. In contrast, substitution of live pathogenic bacteria results in both endothelial and epithelial injury (87). Since direct or indirect infection accounts for more than 60% of clinical cases of ALI in most studies (88), a better understanding of how the bacterial and viral products alter these and other aspects of alveolar–capillary function is critical. Furthermore, it is essential to determine how well the alveolar epithelium recovers from apoptotic or necrotic lung injury and the basic mechanisms that regulate this process of epithelial repair (5).

It is also critical to understand more about how inflammatory cells and soluble and insoluble proteins are removed from the lung. Characterizations of molecular mechanisms that regulate macrophage-dependent removal of apoptotic leukocytes in inflammatory lung injury is in progress (89, 90). Soluble protein appears to be primarily removed by a slow process of paracellular diffusion across the alveolar epithelium although transcytosis can contribute as well (91). Removal of insoluble proteins and cellular debris and remodeling of hyaline membranes appears to be primarily driven by alveolar macrophages although details of how this process proceeds are sketchy at best.

One subset of patients with ALI/ARDS has severe lung injury that includes an extensive exudative process, profound endothelial and epithelial injury, and often fibroing alveolitis (12, 24, 25) (Figure 5). Although multiple organ failure and death are common outcomes in this group, remodeling of the injured lung can occur in some subjects with restoration of a functional alveolar–capillary barrier without major permanent pathologic or physiologic changes. The mechanisms that regulate this recovery process remain largely unknown and need precise definition (5).
orities in ALI/ARDS in 2002 (5, 12). The issues are broad and the unknowns complex.

In all of the facets of the inflammatory and hemostatic responses in ALI/ARDS (Figures 2 and 4) we still have little insight into what is cause and what is consequence (12, 35, 47). Although some progress has been made, we are little closer than we were in 1967 to understanding how key inflammatory events that are defensive and reparative when they are regulated in lung infection and limited injury become damaging and destructive when they are unregulated in ALI/ARDS, and we have very little insight into what the molecular mechanisms of dysregulation actually are. In bacterial pneumonia, innate immune responses mediated by activated neutrophils, monocytes, and alveolar macrophages play requisite roles. In parallel, a local shift to a procoagulant and antifibrinolytic environment may serve to wall off infection and airspace injury, yet these same effectors and responses appear to be pivotal in the early events in ALI/ARDS (Figure 2) (5). The concept of a balance between pro- and anti-inflammatory and pro- and anti-edematous factors has current traction in the field, in part borrowed from other diseases and mechanisms of injury (5, 55–57). Further, specific regulatory molecules in the field, in part borrowed from other diseases and mechanisms and interact with environmental influences are largely unexplored (5). Cellular, genomic, proteomic, and lipidomic patterns of the responses of individual cell types to mechanical and inflammatory stimuli provided insight into how this might occur at a molecular level (5). Subsequently, the success of lung protective ventilatory strategies has stimulated new investigations into how mechanical stresses may adversely affect the lung. The mechanisms of benefit are still being worked out, but seem to involve a modest decrease in lung and systemic inflammation (102) and a reduction in the severity of alveolar epithelial injury (75, 103, 104). The reduction in mortality with the reduction in tidal volume and plateau airway pressure has been accompanied by a decrease in nonpulmonary organ failure (6), thus clearly linking the severity of the lung injury to the systemic consequences of ALI/ARDS.

ALI/ARDS threatens the lives and well being of ~200,000 patients annually in the United States alone (105). Important discoveries relevant to the pathogenesis have been made since the original description of ARDS in 1967, but what we do not know still exceeds what we do. Further progress will require all of the tools of modern integrative biology (5) and a continued infusion of new investigators to apply them. It is perhaps this last requirement that is most tenuous and uncertain, but the one with the greatest potential (106).

Conflict of Interest Statement: Neither author has a financial relationship with a commercial entity that has an interest in the subject of this manuscript.

Acknowledgments: The authors thank our many colleagues and collaborators for invaluable discussions and debates; Paolo M. Miranda and Adrienne Triplett for help with preparation of the manuscript; Diana Lim for consultation on graphic presentations; and Zachary Matthey, Gabe Mednick, Xiao Fang, and Diana Lim for preparation of the figures.

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